

**DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION**  
**(37 C.F.R. §1.63)**

As a below named inventor, I hereby declare:

My residence, mailing address, and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor or an original, first and joint inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled:

**SYSTEM AND METHOD OF INVESTING FUNDS**

the specification of which (check one)

☐ is attached hereto.

☒ was filed on December 22, 2005 as United States Application No. 10/582,185 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge my duty to disclose to the United States Patent and Trademark Office all information that I know to be material to the patentability of this application as defined in 37 C.F.R. §1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or (f), or §365(b) of any foreign application(s) for patent or inventor's or plant breeder's rights certificate(s), or §365(e) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's or plant breeder's rights certificate(s) or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

Priority  
Not Claimed

<u>2003903293</u>	<u>Australia</u>	<u>06/28/2003</u>	<input type="checkbox"/>
(Number)	(Country)	(Filing Date, MM/DD/YYYY)	
_____	_____	_____	<input type="checkbox"/>
(Number)	(Country)	(Filing Date, MM/DD/YYYY)	

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

_____ (Application Serial No.)	_____ (Filing Date, MM/DD/YYYY)	_____ (Status: pending, or abandoned)
_____ (Application Serial No.)	_____ (Filing Date, MM/DD/YYYY)	_____ (Status: pending, or abandoned)

I hereby claim the benefit under 35 U.S.C. §120 of any United States applications(a), or §365(c) of any PCT International Application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

<u>PCT/AU2004/000859</u>	<u>06/28/2004</u>	<u>Pending as of December 22, 2005</u>
(Application Serial No.)	(Filing Date, MM/DD/YYYY)	(Status: patented, pending, abandoned)

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_____ (Application Serial No.)	_____ (Filing Date, MM/DD/YYYY)	_____ (Status: patented, pending, abandoned)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor <b>Pastal J.A. Gautherson</b>	
Sole or first inventor's signature <i>[Signature]</i>	Date <b>23/10/2006</b>
Residence (City, State/Foreign Country) <b>7440 Bay Road, Waverton, New South Wales, Australia, 2080</b>	
Citizenship <b>France</b>	
Mailing Address <b>Waverton, NSW, Australia</b>	

*28 Dobroyd Road, Balgownie Heights, New South Wales, Australia, 2093*

Full name of second inventor, if any <b>Ashwin Field</b>	
Second inventor's signature	Date
Residence (City, State/Foreign Country) <b>141 Walker Street, North Sydney, New South Wales, Australia, 2080</b>	
Citizenship <b>Australia</b>	
Mailing Address <b>North Sydney, NSW, Australia</b>	

Full name of third inventor, if any <b>Mark L. McDougall</b>	
Third inventor's signature	Date
Residence (City, State/Foreign Country) <b>46/106 Elizabeth Bay Road, Elizabeth Bay, New South Wales, Australia, 2011</b>	
Citizenship <b>Australia</b>	
Mailing Address <b>Elizabeth Bay, NSW, Australia</b>	

Full name of fourth inventor, if any <b>Bennett Chen</b>	
Fourth inventor's signature	Date
Residence (City, State/Foreign Country) <b>35/23 McMahon Street, Hurstville, New South Wales, Australia, 2220</b>	
Citizenship <b>Australia</b>	
Mailing Address <b>Hurstville, NSW, Australia</b>	

# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 C.F.R. §1.63)

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## SYSTEM AND METHOD OF INVESTING FUNDS

the specification of which (check one)

☐ is attached hereto.

☒ was filed on December 22, 2005 as United States Application No. 10/562,185 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

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Prior Foreign Application(s):

Priority  
Not Claimed

<u>2003903293</u> (Number)	<u>Australia</u> (Country)	<u>06/28/2003</u> (Filing Date, MM/DD/YYYY)	<input type="checkbox"/>
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<u>                    </u> (Application Serial No.)	<u>                    </u> (Filing Date, MM/DD/YYYY)	<u>                    </u> (Status: pending, or abandoned)

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PCT/AU2004/000859 (Application Serial No.)	06/28/2004 (Filing Date, MM/DD/YYYY)	Pending as of December 22, 2005 (Status: patented, pending, abandoned)
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Full name of sole or first inventor <b>Pascal J.A. Gauthereon</b>
Sole or first inventor's signature _____ Date _____
Residence (City, State/Foreign Country) <b>7/40 Bay Road, Waverton, New South Wales, Australia, 2060</b>
Citizenship <b>France</b>
Mailing Address <b>Waverton, NSW, Australia</b>

Full name of second inventor, if any <b>Ashwin Field</b>
Second inventor's signature _____ Date _____
Residence (City, State/Foreign Country) <b>141 Walker Street, North Sydney, New South Wales, Australia, 2060</b>
Citizenship <b>Australia</b>
Mailing Address <b>North Sydney, NSW, Australia</b>

Full name of third inventor, if any Mark L. McDougall	
Third inventor's signature	Date
Residence (City, State/Foreign Country) 48/106 Elizabeth Bay Road, Elizabeth Bay, New South Wales, Australia, 2011	
Citizenship Australia	
Mailing Address Elizabeth Bay, NSW, Australia	

Full name of fourth inventor, if any Bennett Chen	
Fourth inventor's signature 	Date 27/10/06
Residence (City, State/Foreign Country) 35/23 McMahon Street, Hurstville, New South Wales, Australia, 2220	
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Mailing Address Hurstville, NSW, Australia	

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Full name of sole or first inventor Pascal J.A. Gauthereon	
Sole or first inventor's signature	Date
Residence (City, State/Foreign Country) 7/40 Bay Road, Waverton, New South Wales, Australia, 2060	
Citizenship France	
Mailing Address Waverton, NSW, Australia	

Full name of second inventor, if any Ashwin Field	
Second inventor's signature	Date 27/10/06
Residence (City, State/Foreign Country) 141 Walker Street, North Sydney, New South Wales, Australia, 2060	
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Mailing Address North Sydney, NSW, Australia	



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2003903293 Australia 06/26/2003  
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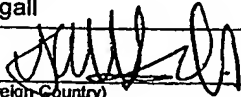
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Full name of second inventor, if any <b>Ashwin Field</b>	
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Citizenship <b>Australia</b>	
Mailing Address <b>North Sydney, NSW, Australia</b>	

Full name of third inventor, if any Mark L. McDougall	
Third inventor's signature 	Date 24/01/06
Residence (City, State/Foreign Country) 46/106 Elizabeth Bay Road, Elizabeth Bay, New South Wales, Australia, 2011	
Citizenship Australia	
Mailing Address Elizabeth Bay, NSW, Australia AS ABOVE mm.	

Full name of fourth inventor, if any Bennett Chen	
Fourth inventor's signature	Date
Residence (City, State/Foreign Country) 35/23 McMahon Street, Hurstville, New South Wales, Australia, 2220	
Citizenship Australia	
Mailing Address Hurstville, NSW, Australia	

✓ 40 UNION STREET, WINDSOR, VICTORIA, 3181 mm.